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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Applicati n Number	10/076,906
Filing Date	February 13, 2002
First Named Inventor	Meyer, Richard
Group Art Unit	2152
Examiner Name	Unassigned
Attorney Docket Number	20949P-000800US

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Supplemental Information
Disclosure Statement

☐ Certified Copy of Priority
Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)
and Accompanying Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)
(please identify below):

USPTO form SB08A (1 page), copies of 5
cited references, copy Int'l Search Report
for PCT/US02/04908, Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to
Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
and
Individual name

Townsend and Townsend and Crew LLP

Charles Hamilton

Reg. No. 42,624

Signature

Charles Hamilton

Date

22 Jul 02

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first
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Client Reference No.: CNI #5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JUL 31 2002

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In re application of:

Richard Meyer

Application No.: 10/076,906

Filed: February 13, 2002

For: FAILOVER PROCESSING IN A
STORAGE SYSTEM

Examiner: Unassigned

Art Unit: 2152

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the International Search Report corresponding to PCT application, PCT/US02/04908. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

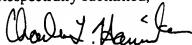
Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No.

Richard Meyer
Application No.: 10/076,906
Page 2

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Respectfully submitted,



Charles Hamilton
Reg. No. 42,624

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: 650-326-2400
Fax: 650-326-2422
CLH:rh

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number 10/076,906
 Filing Date February 13, 2002
 First Named Inventor M yer, Richard
 Art Unit 2152
 Examiner Name Unassigned
 Attorney Docket Number 20949P-000800US

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U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	1	US-20020007468 A1	01-17-2002	Kampe et al.	
	2	US-6,185,695	02-06-2001	Murphy et al.	
	3	US-6,104,871	08-15-2000	Badovinatz et al.	
	4	US-5,996,066	11-30-1999	Delany et al.	
	5	US-5,704,032	12-30-1997	Badovinatz et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴
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² Unique citation designation number. ³ See attached Kinds of U.S. Patent Documents. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (10-01)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet **2** of **1**

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Applicati n Number	10/076,906
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First Named Inv nt r	M yer, Richard
Art Unit	2152
Examiner Name	Unassigned
Attorney Docket Number	20949P-000800US

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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